



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/882,818	06/15/2001	John A. Notte IV	5589-00301

CONFIRMATION NO. 7914

## FORMALITIES LETTER



\*OC000000006401998\*

ERIC B. MEYERTONS  
 CONLEY, ROSE & TAYON, P.C.  
 P.O. BOX 398  
 AUSTIN, TX 78767-0398

Date Mailed: 08/09/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$512.
  - \$432 for 24 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1352.**

Adjustment date: 11/26/2001 MTEKLEM1  
 11/20/2001 MROUCHA1 000000017 501505 09882818  
 01 FC:103 360.00 CH

09882818  
 09882818

11/26/2001 MTEKLEM1 00000002 501505 *One copy of this notice MUST be returned with the reply.*

01 FC:103 36.00 CH

*Sawyer*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

10/17/2001 CCHAU1	00000068 501505	740.00 CH
01 FC:101		84.00 CH
02 FC:102		130.00 CH
03 FC:103		
11/20/2001 MROUCHA1 00000017 501505		360.00 CH
01 FC:103		



17-A

#3 Sector  
\$  
PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/882,818

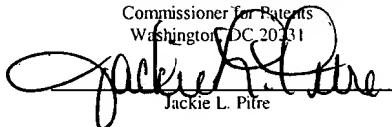
Filed: June 15, 2001

Inventor(s):

John A. Notte IV

Title: APPARATUS AND  
METHOD FOR  
APPLYING FEEDBACK  
CONTROL TO A  
MAGNETIC LENS

§ Examiner: Unknown  
§ Group/Art Unit: 2881  
§ Atty. Dkt. No: 5589-00301/EBM

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8	
DATE OF DEPOSIT: 10/09/01	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to: Commissioner for Patents Washington, DC 20231	
 Jackie L. Pitre	

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED, MAILED AUGUST 9, 2001

ATTN: Box Missing Parts

Commissioner for Patents

Washington, D.C. 20231

Sir:

Applicant encloses the following in response to the Notice to File Missing Parts of

Application - Filing Date Granted:

- (1) Copy of Form PTO-1533, Notice to File Missing Parts - Filing Date Granted (1 page);
- (2) Form PTO-1595 Assignment Recordation Cover Sheet (1 page);
- (4) Originally executed Assignment (2 pages);
- (5) Originally executed Declaration (2 pages);
- (6) Preliminary Amendment (2 pages);
- (7) Fee Authorization in the amount of \$1,012.00 (2 pages);

John A. Notte IV



\$ 740.00 -- basic filing fee;  
\$ 102.00 -- surcharge for excess claims;  
\$ 130.00 -- surcharge for late filing of declaration and fee;  
\$ 40.00 -- assignment recordation fee; and

A return postcard.

If any extension of time is required, Applicant hereby respectfully requests the appropriate extension of time. If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 50-1505/5589-00301/EBM.

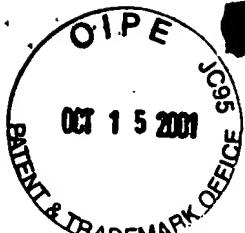
Respectfully submitted,

Eric B. Meyertons  
Reg. No. 34,876

Attorney for Applicant

CONLEY, ROSE & TAYON, P.C.  
P.O. BOX 398  
AUSTIN, TX 78767-0398  
(512) 476-1400 (voice)  
(512) 703-1250 (facsimile)

Date: 10/9/01



#3

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/882,818

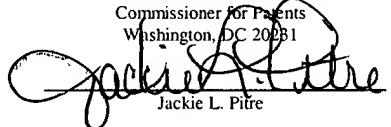
Filed: June 15, 2001

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Title: APPARATUS AND  
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 Jackie L. Pire	

FEE AUTHORIZATIONCommissioner for Patents  
Washington, D.C. 20231The Commissioner is hereby authorized to charge the following fees to Conley, Rose & Tayon,  
P.C. Deposit Account Number 50-1505/5589-00301/EBM:

\$740.00 - Filing Fees

\$102.00 - Excess Claims Fees;

\$ 40.00 - Assignment Recordation Fee; and

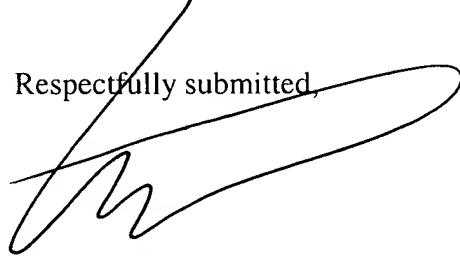
\$130.00 - Surcharge

Total Amount: \$1,012.00Attorney Docket No.: 5589-00301

John A. Notte IV

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the above mentioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 50-1623/5589-00301/EBM.

Respectfully submitted,



Eric B. Meyertons  
Reg. No. 34,876

Attorney for Applicant

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(512) 703-1250 (facsimile)

Date: 10/1/01